

# TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

98-2069

In Re Application Of: Ronald A. Guidotti et al.

#27  
1.23.32

Serial No.

09/432,334

Filing Date

11/02/1999

Examiner

John S. Maples

Group Art Unit

1745

Title: ENERGY STORAGE AND CONVERSION DEVICES USING THERMAL SPRAYED ELECTRODES

Address to:

Assistant Commissioner for Patents

Washington, D.C. 20231

## 37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

## 37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

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ENERGY STORAGE AND CONVERSION DEVICES USING THERMAL SPRAYED ELECTRODES

## Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☒ A check in the amount of \$180.00 is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 06-1130 as described below. A duplicate copy of this sheet is enclosed.
  - ☐ Charge the amount of
  - ☒ Credit any overpayment.
  - ☒ Charge any additional fee required.

### Certificate of Transmission by Facsimile\*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

### Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited 01/08/2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Patricia A. Hart

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

Karen A. LeCuyer

Signature

Dated: January 8, 2003

Karen A. LeCuyer

Registration No.: 51,928

Customer No.: 23413

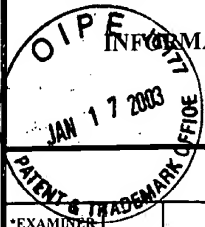
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<b>AMENDMENT TRANSMITTAL LETTER (Large Entity)</b>				Docket No. 98-2069	
Applicant(s): Ronald A. Guidotti et al.					
Serial No. 098,32,334	Filing Date 11/02/1999	Examiner John S. Maples		Group Art Unit 1745	
Invention: ENERGY STORAGE AND CONVERSION DEVICES USING THERMAL SPRAYED ELECTRODES					
<u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u>					
Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below.					
<b>CLAIMS AS AMENDED</b>					
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS.	20	20 =	0 x	\$18.00	\$0.00
INDEP. CLAIMS	4	3 =	1 x	\$84.00	\$84.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>					<b>\$84.00</b>
<div style="float: right; text-align: right; border: 1px solid black; padding: 5px; transform: rotate(90deg);"> RECEIVED JAN 22 2003 TECHNOLOGY CENTER 1700 </div> <div> <input type="checkbox"/> No additional fee is required for amendment.  <input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____  A duplicate copy of this sheet is enclosed.  <input checked="" type="checkbox"/> A check in the amount of <b>\$84.00</b> to cover the filing fee is enclosed.  <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 06-1130  A duplicate copy of this sheet is enclosed.  <input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16.  <input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17. </div>					
<i>Karen A. LeCuyer</i> Signature			Dated: January 8, 2003		
Karen A. LeCuyer Registration No.: 51,928 Customer No.: 23413					
<div style="border: 1px solid black; padding: 5px;"> I certify that this document and fee is being deposited on 01/08/2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.  <div style="text-align: center;"> <i>Patricia A. Hart</i>  Signature of Person Mailing Correspondence    <b>Patricia A. Hart</b>  Typed or Printed Name of Person Mailing Correspondence </div> </div>					

CC:



## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

98-2069

Application Number

09/432,334

Applicant(s)

Ronald A. Guidotti et al.

Filing Date

11/02/1999

Group Art Unit

1745

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		3,907,589	09/23/1975	Gay et al.	136	6 R	
		4,013,818	03/22/1977	Askew et al.	429	112	
		6,210,832	04/03/2001	Visco et al.	429	218.1	
		6,252,762	06/26/2001	Amatucci	361	503	

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## FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.